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PATENT  
ATTORNEY DOCKET NO.: 041094-5022

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Kenichiro UDA et al. ) Confirmation No.: Unknown  
Application No.: 10/676,250 ) Group Art Unit: Unknown  
Filed: October 2, 2003 ) Examiner: Unassigned  
For: Water-Soluble Lubricant for Warm or Hot Metal Forming )

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

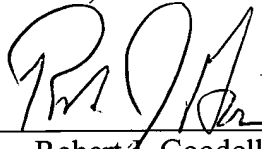
**REQUEST FOR OFFICIAL FILING RECEIPT AND STATUS INQUIRY**

It has been 11 months since the filing of the above-referenced patent application. Applicants have not yet received the Filing Receipt (or Notice to File Missing Parts). Applicants further find no entry in PAIR. Applicants hereby request written notification of the status of the present patent application filed October 2, 2003. As a convenience to the Office, Applicants enclose a copy of the application as filed on October 2, 2003 with date-stamped postcards receipts evidencing the filing on October 2, 2003 as well as the according of the above noted Application Number and filing date of October 2, 2003.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Date: September 3, 2004

By:   
Robert J. Goodell  
Reg. No. 41,040

**CUSTOMER NO. 009629**  
**MORGAN, LEWIS & BOCKIUS LLP**  
1111 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
Telephone: (202) 739-3000



PLEASE ACCORD THIS NEW U.S. PATENT APPLICATION AN  
APPLICATION NUMBER AND FILING DATE

ATTN: MAIL STOP PATENT APPLICATION

New U.S. Patent Application of: Kenichiro UDA, et al.

For: WATER-SOLUBLE LUBRICANT FOR WARM OR HOT  
METAL FORMING

16834 U.S. PTO  
10/676250  
10/02/03

Dated: October 2, 2003  
Attorney Docket No.: 041094-5022  
RG2/eeo

**DOCKETED**  
By *DA* Date *11/5/03*



**PLEASE STAMP AND RETURN TO SHOW RECEIPT OF:**

New U.S. Patent Application of: Kenichiro UDA, et al.

For: WATER-SOLUBLE LUBRICANT FOR WARM OR HOT  
METAL FORMING

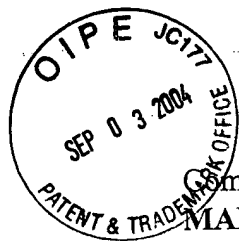
**ATTENTION: MAIL STOP PATENT APPLICATION**

1. Transmittal Letter
2. Specification of 35 pages, 1 independent claims and 5 total claims
3. Drawings - 1 sheet - 1 total figure

Dated: October 2, 2003  
Attorney Docket No.: 041094-5022  
RG2/eeo



**DOCKETED**  
By YB Date 10-3-03



PATENT  
ATTORNEY DOCKET NO.: 041094-5022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
MAIL STOP PATENT APPLICATION

TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION  
UNDER 37 C.F.R. §1.53(b)

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventor: Kenichiro UDA, Tatsumi HARADA and Kasushige FUJIYOSHI

For: WATER-SOLUBLE LUBRICANT FOR WARM OR HOT METAL FORMING

1. This is a new ☒ Utility ☐ Design ☐ Plant patent application.

2. The papers enclosed to obtain a filing date are as follows:

35 Pages of Specification including:

0 Title Page

32 Pages of Description

2 Pages of Claims

1 Page of Abstract

1 Sheet of drawings containing 1 Figure

☐ The enclosed drawings are photographs, and there is also attached a  
PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)

3. Combined Declaration and Power of Attorney



Enclosed and is executed by all inventors



Not Enclosed.

This application is being filed under the provisions of 37 C.F.R. §1.53(f).  
Applicant(s) await notification from the Patent and Trademark Office of  
the time set for filing the Declaration and paying the filing fees.

## 4. Language

- ☒ English  
☐ Non-English

This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the MPEP. Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the verified English translation and the processing fee.

## 5. Assignment

- ☐ An assignment of the invention to \_\_\_\_\_ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.  
☒ An assignment will be filed at a later date.

## 6. Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.

☐ Priority of the following foreign application is claimed:

Country	Application No.	Filed

Certified copy: ☐ is attached. ☐ will follow.

## 7. Priority based on provisional application(s) - 35 U.S.C. §119(e)

☐ Priority of the following provisional application(s) is claimed:

Application No.	Filed

## A. Relate Back - 35 U.S.C. §119(e)

- ☐ Attached is a Preliminary Amendment amending the specification by inserting before the first line the sentence: "This application claims priority of copending provisional application(s) No. \_\_\_\_\_ filed on \_\_\_\_\_."

## 8. Small entity status

- ☐ Applicant(s) hereby assert(s) small entity status under 37 C.F.R. § 1.27.

## 9. Fee Calculation (37 C.F.R. §1.16)

	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$770.00 Design \$340.00
			<b>BASIC FEE</b>	<b>770.00</b>
Total Claims (37 C.F.R. §1.16(c))	5 - 20 =		18.00	
Independent Claims (37 C.F.R. §1.16(b))	1 - 3 =		86.00	
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d))			290.00	
<b>SUB-TOTAL =</b>				<b>770.00</b>
Reduction by 1/2 for filing by a small entity				
<b>SUB-TOTAL =</b>				<b>770.00</b>
Assignment Recordation Fee			\$40.00	
<b>TOTAL FILING FEE =</b>				<b>\$770.00</b>

## 10. Fee Payment

☒ Not Enclosed. **NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.**

This application is being filed under the provisions of 37 C.F.R. §1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

☐ Please charge Deposit Account No. 50-0310 in the amount of \$ representing the basic filing fee of \$770.00 and an assignment recording fee of \$40.00 is enclosed

11. ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

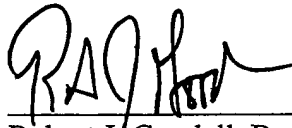
12. Additional papers enclosed:

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, \_\_\_\_\_ document included
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

**Please accord this application an application number and filing date.**

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**



Robert J. Goodell, Reg. No. 41,040

Dated: October 2, 2003

**Customer No. 09629**

**MORGAN, LEWIS & BOCKIUS LLP**

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